

No: KSLU/Adm/Ordinance/2014-15/-1279

Date: 20-08-2014

NOTIFICATION

- Sub :** Ordinance Governing Doctoral Degree Programme (Ph.D.)  
(Amendment).
- Ref :** 1. Letter No. Law. 33/KLM/2011, dated 07-08-2014.  
2. Approval of the Hon'ble Vice-Chancellor dated : 03/09/2014

Adverting to the above subject matter, it is hereby notified that, the "Amendments to Ordinance Governing the Doctoral Degree Programme (Ph.D.)" has been assented by His Excellency the Governor and the Chancellor of Universities of Karnataka.

The above Amendment to the Ordinance has been communicated to this office by the Principal Secretary, Law, Bangalore on 07-08-2014.

The above Amendment to the Ordinance has come into effect from the date of communication by the Government i.e. 07-08-2014.

  
REGISTRAR

To,

1. To the Chairman and all the members of Syndicate of Karnataka State Law University, Hubli.

Copy to:

1. The Principal Secretary to Govt. Dept. of Law, Bangalore.
2. The Principal Secretary to His Excellency the Governor and the Chancellor of Universities in Karnataka, Bangalore.
3. The Ps to Hon'ble Vice-Chancellor, Karnataka State Law University, Hubli.
4. The Ps Registrar, Karnataka State Law University, Hubli.
5. The Ps Registrar (Eva.), Karnataka State Law University, Hubli.
6. Finance Officer, KSLU, Hubli.
7. The Deputy Registrar, Academic, Karnataka State Law University, Hubli.
8. Director, KSLU's Law School, KSLU, Hubli

ಕರ್ನಾಟಕ ಸರ್ಕಾರ

ಸಂಖ್ಯೆ:ಲಾ 33 ಕೆಎಲ್‌ಎಮ್ 2011

ಕರ್ನಾಟಕ ಸರ್ಕಾರದ ಸಚಿವಾಲಯ  
ವಿಕಾಸ ಸೌಧ  
ಬೆಂಗಳೂರು, ದಿನಾಂಕ: 07-08-2014.

ಇಂದ,

ಸರ್ಕಾರದ ಪ್ರಧಾನ ಕಾರ್ಯದರ್ಶಿ  
ಕಾನೂನು ಇಲಾಖೆ  
ವಿಧಾನ ಸೌಧ  
ಬೆಂಗಳೂರು.

ಇವರಿಗೆ,

ಸುಲಸಚಿವರು  
ಕರ್ನಾಟಕ ರಾಜ್ಯ ಕಾನೂನು ವಿಶ್ವವಿದ್ಯಾಲಯ  
ನವನಗರ ಅಂಚೆ  
ಆರ್.ಟಿ.ಒ.ಕಛೇರಿ ಹಿಂಭಾಗ  
ಹುಬ್ಬಳ್ಳಿ -580025

ಮಾನ್ಯರೇ,

ವಿಷಯ: Amendments to the Ordinance Governing Doctoral Degree Programme(Ph.d)

ಉಲ್ಲೇಖ: ತಮ್ಮ ಪತ್ರ ಸಂಖ್ಯೆ:ಕರಾಕಾವಿ/ಆಡಳಿತ/2013-14/0471,  
ದಿನಾಂಕ: 12.06.2014.

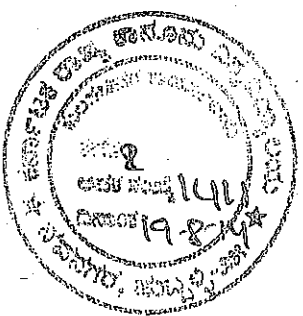
ಮೇಲ್ಕಂಡ ವಿಷಯಕ್ಕೆ ಸಂಬಂಧಿಸಿದಂತೆ, ಉಲ್ಲೇಖಿತ ಪತ್ರದಲ್ಲಿನ ತಮ್ಮ ಪ್ರಸ್ತಾವನೆಯನ್ನು ಪರಿಶೀಲಿಸಲಾಯಿತು. Ordinance Governing Doctoral Degree Programme(Ph.d) ಅಧ್ಯಾದೇಶದ ತಿದ್ದುಪಡಿಗಾಗಿ ಘನತೆವೆತ್ತ ರಾಜ್ಯಪಾಲರು ಅನುಮೋದನೆ ನೀಡಿದ್ದು, ಸದರಿ ಅಧ್ಯಾದೇಶದ ಮೂಲ ಪ್ರತಿಯನ್ನು ಇದರೊಂದಿಗೆ ಲಗತ್ತಿಸಿ ಕಳುಹಿಸಲು ನಾನು ನಿರ್ದೇಶಿತನಾಗಿದ್ದೇನೆ.

ತಮ್ಮ ನಂಬುಗೆಯ,

*(ಅನ್ವರ್ ಭಾಷಣ)*

ಸರ್ಕಾರದ ಅಧೀನ ಕಾರ್ಯದರ್ಶಿ  
ಕಾನೂನು ಇಲಾಖೆ (ಮಾನವ ಹಕ್ಕುಗಳು)

Pr  
7/8/14



DR.  
For needful.



19/8  
AR Adms. Notified

KARNATAKA STATE LAW UNIVERSITY  
NAVANAGAR, HUBLI



AMENDMENTS TO  
ORDINANCE GOVERNING THE  
DOCTORAL DEGREE PROGRAMME (Ph.D.)

2013-14 onwards

Navanagar,  
Hubli - 580 025

**KARNATAKA STATE LAW UNIVERSITY,  
NAVANAGAR, HUBLI.**

**Amendments to Ordinance Governing the Doctoral Degree Programme  
(Ph.D.)**

**Preamble:**

The existing ordinances governing the Ph.D. programme in Karnataka State Law University Hubli need to be modified in view of the UGC Notification No. F.1-1/2002(PS) Exemp dated 1st June, 2009 and also letter No.F.I.I-2002(PS) Exemp dated 12<sup>th</sup> June, 2009 through which the UGC has issued "UGC (minimum standards and procedure for award of M.Phil./Ph.D. Degree) Regulations, 2009."

Therefore, the University has deemed it fit to formulate a comprehensive set of ordinances governing the Ph.D. programme in compliance with UGC norms, with an academic endeavor to emerge as a world class University.

Hence, the Karnataka State Law University, Hubli makes the following ordinances under section 34(2)(ii) read with section 48 and all other enabling provisions of the Karnataka State Law University's Act, 2009.

**1.0 Title**

1.1 These Ordinances shall be called Karnataka State Law University Ordinances governing the Doctoral Degree Programme (Ph.D.)

**1.2 Commencement**

These Ordinances shall come into force from the date of Notification by the University.

**1.3 Application**

These Ordinances shall be applicable to the Ph.D. Programme offered in the KSLU's Law School and Institutions recognized as Research Centres.

**2.0 Definitions**

In this ordinance unless the context otherwise requires:

- (1) The "Act" means the Karnataka State Law Universities Act, 2009.
- (2) "University" means the University established and incorporated under the KSLU Act, 2009.

- (3) "Ordinance" means the Ordinance made under Sec 48 of the KSLU Act, 2009.
- (4) "Degree" means the Degree of Doctor of Philosophy (abbreviated as Ph.D.)
- (5) "Fee" means the fee prescribed by the University.
- (6) "Entrance Test" means a test administered by the University to select candidates for Ph.D. Programme.
- (7) "Research Centre" means the P.G. Department/Institution/College/Organisation recognized by the University as per UGC Regulations and University Guidelines as a research centre.
- (8) "Course Work" means courses prescribed as a part of Ph.D. Programme that all the candidates shall successfully complete as a pre requisite to start the preparation of Ph.D. Thesis.
- (9) "Research Supervisor" means a teacher who is recognized by the University as Research Supervisor.
- (10) "Co-Supervisor" means the recognized research supervisor who supervises an inter-disciplinary doctoral thesis of a Candidate as the second supervisor along with the research supervisor.
- (11) "Doctoral Committee" means the Committee constituted by the University to asses and recommend the applications on the basis of suitability of the Ph.D. applicant/Research Proposal for registration and also to review the progress of the candidate and to permit the candidate to submit the thesis after review of the progress of the candidate and to discharge related functions.
- (12) "Board of Studies" means Board of Studies (Post Graduate) of the University.
- (13) "Research Proposal" means a brief write up in the form of research proposal on the proposed research work which shall be submitted by the candidate along with the application for Ph.D. registration.
- (14) "Synopsis" means the final synopsis of the completed research work which shall be submitted by the candidate.
- (15) "Pre-submission colloquium" means the presentation of the Ph.D. synopsis prepared by the candidate in the Department concerned before the faculty and supervisors showing his/her preparedness to submit the thesis.
- (16) "Sponsored Candidate" means those permanent employees of educational/research institutions and organizations of public/private sector deputed to pursue Ph.D. including the permanent teacher on FIP/QIP.
- (17) "Foreign Students" means those who are foreign citizens and who have completed their Masters Degree or equivalent recognized by UGC as equivalent.

(18) "Reputed Journal" means a professional journal wherein papers are selected for publication by a Panel of Referees who are experts in the field.

Expressions used but not defined in these Ordinances and defined in the Act, Statutes or Regulations shall have the meanings assigned to them in the Act, Statutes or Regulations.

### 3.0 Admission Requirements

#### 3.1 Eligibility

Candidates who have passed JRF/ NET/SLET/MPhil through regular course work or belongs to FIP/QIP programmes or the entrance test conducted by KSLU shall be eligible to register for Ph.D., programme.

Foreign students with 55% of marks or equivalent grade in the recognized degree, sponsored by their Embassies/ICCR and have passed GRE and TOFEL shall be eligible to register for Ph.D., programme.

Such of the teachers employed on Full Time basis on or before 31.03.1992 and who are continuing in service in any of the affiliated colleges, constituent colleges and PG Departments of any recognized University shall be eligible to appear for the entrance test provided they have secured a minimum of 50% in aggregate.

#### 4.0 Entrance Test

4.1 A candidate, who has obtained Master's Degree from Karnataka State Law University, Hubli or any other University recognized as equivalent thereto securing not less than 55% marks in the aggregate shall be eligible to appear for the entrance test. However, in the case of SC/ST and the Category-I candidates the Minimum marks required shall be 50% .

4.2 All eligible candidates shall appear for an Entrance Test consisting of two papers of 1 hour 15 minutes duration.

Paper -I shall be on "Research Methodology". It shall consist 50 multiple choice questions carrying 1 mark each, on data interpretation, language comprehension and test of reasoning.

Paper-II shall be on "Cognate /Core Law Subjects" of the P.G Programme in KSLU. It shall consist of 50 multiple choice questions carrying 1 mark each.

Every candidate who has appeared for the entrance test shall secure a minimum of 50% in each paper and 55% marks in aggregate in the two papers (45% in each paper and 50% of marks in aggregate in two papers in case of SC/ST/ Cat-I Candidates) to be eligible for registration.

4.3 The Merit List of the eligible candidates shall be prepared on the basis of the Weighted Average Score (W.A.S.) to be computed by assigning 50% weightage to the score at the entrance test and 50% weightage to the aggregate score at the Master's Degree.

Provided W.A.S. shall not be less than 50% (45% in case of SC/ST/Cat-I Candidates).

4.4 Each paper shall be of 75 minutes duration. Question paper for Paper - I (in two sets) shall be prepared by an independent Board consisting of six experts, both external and internal drawn from different faculties appointed by the Vice-Chancellor.

Question paper for Paper II (in two sets) shall be prepared by an independent Board consisting of six experts, both external and internal appointed by the Vice-Chancellor from the panel of P.G. examiners.

The Chairperson of the BOS shall be the Chairperson of the paper setting board.

The Registrar (Evaluation) shall choose randomly one of the two papers set for Paper-I and Paper-II.

After evaluation of the scripts of Paper-I and Paper-II, the Registrar (Evaluation) shall send the marks list to the Chairperson of Doctoral Committee for preparing the merit list of qualified candidates.

#### 5.0 Doctoral Committee

5.1 (a) The composition of the Doctoral Committee shall be as follows:

- |                                                                                 |                   |
|---------------------------------------------------------------------------------|-------------------|
| i) Dean of the Faculty                                                          | — Chairperson     |
| ii) Director K.S.L.U's Law School or his nominee                                | —Member-Secretary |
| iii) Two experts nominated by the Vice-Chancellor<br>from within the University | —Members          |
| iv) Two experts nominated by the Vice-Chancellor<br>from outside the University | —Members          |
| v) Research Supervisor/ Research Supervisors                                    | —Member/s         |

(b) The Member Secretary shall convene the meeting in consultation with the Chairperson of the Doctoral Committee.

(c) The quorum for the Doctoral Committee shall not be less than 2/3 of the total composition of the Doctoral Committee for the respective meeting.

#### 5.2 Functions of the Doctoral Committee.

(a) To finalize the list of the eligible candidates and allocate the candidates to the Research Supervisors as per availability of seats.

(b) To permit the change of Research Supervisors.

(c) To recognize the Research Supervisors and Co- Research Supervisors.

(d) To permit change in the title of the thesis as recommended by the Research Supervisors.

(e) To conduct the pre-registration colloquium.

(f) To consider the half yearly progress report of registered candidates forwarded by the Research Supervisors through proper channel.

(g) To conduct the pre-submission colloquium and accord permission for the submission of the Ph.D. thesis.

(h) To carry out such other duties as the University may entrust the Committee from time to time in connection with the Ph.D. Programme.

#### 6.0 Inviting Applications

6.1 The University shall issue a circular, once in a year preferably in the month of July/August to all the Research Centers directing them to submit the list of available seats under each Research Supervisor.

6.1.1 After receiving the details of the available seats, the University shall issue a notification in leading Newspapers/University Website inviting application for Ph.D. programme (Full time and Part-time) once in a year preferably in the month of September.

The notification shall contain information about:

(i) The Research Centers that are offering the Ph.D. Programme and the number of seats available.

(ii) The Date and venue of the Entrance Test.

(iii) The date of announcement of Entrance Test results in the University Website.

(iv) The dates of the interview and announcement of the selection list separately for candidates who are exempted from taking the Entrance Test and for those taking the Entrance Test.



Further, the notification shall clearly mention that the candidates who are exempted from Ph.D. Entrance Test will be considered first and if there are seats remaining after admitting the exempted candidates, only then the University shall conduct the Entrance Test.

The University shall notify at a later date the Entrance Test Schedule in leading newspapers/University Website.

- 6.1.2 The eligible candidates exempted from taking the Entrance Test shall submit duly completed application forms along with Bank Challan or Demand Draft towards payment of prescribed fees to the respective Heads of the Research Centres on or before the date stipulated.
- 6.1.3 The eligible candidates not exempted from taking the Entrance Test, after the issuance of the second notification by the University, shall submit duly completed application forms along with Bank Challan or Demand Draft towards payment of prescribed fees for Entrance Test to the respective Heads of the Research Centres on or before the date stipulated.
- 6.1.4 The application fee and entrance test fee shall be as prescribed by the University from time to time.

#### **7.0 Selection of Candidates**

- 7.1 The list of qualified candidates who were exempted from taking the entrance test shall be displayed on the Notice Board of the Research Centre.
- 7.2 In each Research Centre, details of research areas of various staff members will be put up on the Notice Board.
- 7.3 The Doctoral Committee shall hold interview of qualified candidates. The candidates shall submit their areas of interest in the order of their choice prior to the interview. Attending the interview is mandatory. Eligibility to appear for the interview shall not be construed as confirmation of registration for Ph.D.
- 7.4 After the interview, the Doctoral Committee shall allocate candidates to Research Supervisors paying due attention to the reservation policy of the State Government, the number of students per Research Supervisor, their specialization and the research interest of the candidate as indicated during the interview.  
However, candidates may be allocated to Research Supervisors who may be working

in areas not prioritized by the candidate, depending on the availability of seats under Research Supervisors.

**7.5 Selection of candidates qualifying at the entrance test:**

If there are vacancies after selecting the candidates exempted from the entrance test, then the Doctoral Committee shall allocate the candidates to the research guides as per the above procedure.

**7.6** The Doctoral Committee shall prepare the waiting list for the purpose of enabling the candidates to take admissions as and when vacancies arise in the academic year.

**7.7** The list of approved candidates and the names of the Research Supervisors shall be submitted to the Registrar. While submitting this list, it has to be ensured that the tentative research topics are finalized by Research Supervisors and candidates.

**7.8** Even when a Research Supervisor is temporarily away on an assignment or on leave, the Doctoral Committee shall allocate students.

**8.0 Provisional Registration**

**8.1** The Registrar shall issue Provisional Registration letter to the selected candidates after receipt of the prescribed fees.

**8.2** The registration shall come into effect from the date of the meeting of the Doctoral Committee.

**8.3** The registered candidate shall pay Course work fee, tuition and other fees for the subsequent terms till the submission of the Ph.D. thesis as stipulated by the University from time to time.

**9.0 Ph.D. Course Work**

**9.1** Registered Full-time and Part-time Ph.D., Candidates shall undertake course work of one semester (16 weeks), normally in the Research Centre within one year from the date of provisional registration, failing which his/her registration shall be cancelled.

**9.2** The course work for Ph.D. programme shall comprise of three papers of 100 marks each, viz., Course-I: Research Methodology, Course-II: Core Law Subjects and Course-III Area of Research. This shall be followed by comprehensive Viva-Voce for 50 marks. However, it shall be noted here that Courses-I and II are common for all the candidates.

**9.3** Each Course shall have 48 contact hours. Classes for Courses-I and II shall be arranged by the Head of the recognized research centres, and that of Course-III by the

concerned Research Supervisor. Both the Full-time and Part-time candidates shall attend at least 75% of the classes in each paper to be eligible to appear for the examination. The provisional registration of the candidate who fails to keep 75% of attendance shall stand cancelled.

9.4 The Syllabus of Paper-I & II shall be framed by the Doctoral Committee  
The Syllabus of Paper – III shall be framed by the concerned Supervisors.

9.5 The following shall be the Structure of Course-Work for Ph.D. Programme.

Sl. No.	Name of the Course	Contact Hours per Week	Maximum Marks			Examination Hours
			Continuous Assessment (IA)	Course End-Examination	Total	
01	Course-I: Research Methodology	03	50	50	100	02
02	Course-II: Core Law Subject	03	50	50	100	02
03	Course-III: Area of Research	03	50	50	100	02
<b>Total</b>			<b>150</b>	<b>150</b>	<b>300</b>	
<b>Viva Voce</b>					<b>50</b>	
<b>Grand Total</b>					<b>350</b>	

9.6 Continuous Internal Assessment (IA) Marks of the course work shall be awarded based on (a) Assignments – 10 marks, (b) Seminar – 10 marks, and (c) Tests – 30 marks.

Paper	Internal Assessment Components (Marks)				
	Test-I (15)	Test – II (15)	Seminar (10)	Assignment (10)	Total
1. Course – I: Research Methodology	5 <sup>th</sup> Week	9 <sup>th</sup> Week	12 <sup>th</sup> Week	14 <sup>th</sup> Week	50
2. Course – II: Core Law Subject	5 <sup>th</sup> Week	9 <sup>th</sup> Week	12 <sup>th</sup> Week	14 <sup>th</sup> Week	50
3. Course – III: Area of Research	5 <sup>th</sup> Week	9 <sup>th</sup> Week	12 <sup>th</sup> Week	14 <sup>th</sup> Week	50

9.7 The question paper (in two sets) of Paper-I & II of the Semester-end examinations shall be set by the BOE consisting of two senior faculty members of the Research Centre other than the Head of the Research Centre and one external member nominated by the Vice-Chancellor.

The concerned Guide shall set the question paper/s (in two sets) in respect of Paper-III. The manuscripts of the question papers shall be submitted to the Head of the Research Centre. Out of the two sets of question papers of each Paper, one shall be chosen randomly by the Head of the Research Centre.

- 9.8 The Semester-end examination shall be conducted in the 17<sup>th</sup> week and evaluation completed and results announced by the 18<sup>th</sup> week.
- 9.9 The Papers I and II shall be subjected to double valuation. One valuation by members of BOE and Second valuation by the External member of the BOE.

#### **10.0 Examination and Evaluation of Answer Scripts**

- 10.1 The Head of the Research Centre shall conduct the examination.
- 10.2 Each answer script of the Course-end Examination shall be coded and assessed.
- 10.3 If the difference in the marks between two evaluations exceeds 20% of the maximum marks, such a script shall be assessed by a third examiner appointed by the Vice-Chancellor from the panel of examiners submitted by the Chairperson of the BOE. The marks awarded to that script shall be the average of two nearer marks out of the three evaluations.

#### **11.0 Minimum Pass Marks and Improvement Examination:**

- 11.1 The Head of the Research Centre shall prepare the Result Sheet and submit it to the Registrar (Evaluation) who shall then declare the results and issue marks cards.
- 11.2 Minimum for passing in each written paper shall be 40% in the course-end examination and 50% in aggregate including the continuous internal assessment marks. However, there shall be no minimum for Viva-Voce as well as IA marks. Every candidate shall compulsorily attend the Viva-Voce examination conducted by respective Research Supervisor and concerned Chairperson of the Department.
- 11.3 Failed candidates shall be allowed to reappear for the Ph.D. course work examination only once within three months of the first examination by paying the prescribed fee. In case of failure of the candidate even after the re-examination, his/her Provisional Registration shall be cancelled. There is no provision for improvement of IA marks.

#### **12.0 Confirmation of Registration**

- 12.1 After the completion of course work, Ph.D. candidates (Full-time/Part-time) are required to submit their research proposals on the chosen topic to the Doctoral Committee in the prescribed format, but not later than six months from the date of provisional registration.

- 12.2 The University shall arrange the meeting of the Doctoral Committee and place the research proposals.
- 12.3 The candidate shall prepare the Research Proposal/Outline defining clearly the objective, methodology, literature survey, work plan and relevance of the proposed research and shall present a pre-registration colloquium before the Doctoral Committee.
- 12.4 The Doctoral Committee shall assess the preparedness of the candidate to take up the proposed research work and recommend for the approval. However, the Committee is empowered to suggest changes, if necessary, in the title/scope/methodology of the proposed research topic/outline in consultation with the Research Supervisor.
- 12.5 On receipt of the research proposals approved by the Doctoral Committee, the Chairperson of the Department shall forward them to the University for Confirmation of Registration.
- 12.6 The University shall confirm the registration within fifteen days from the date of receipt of the letter from the Department which is in the form of permission to start working on the thesis.
- 12.7 If minor modifications (like adding new words or deleting words from the original research topic or changes in the placement of words in the topic) are found to be essential after the issue of Registration Certificate, such changes shall be approved by the Doctoral Committee and intimated to the University through the Research Supervisor and the Chairperson of the Department only at the time of submission of the synopsis of Ph.D thesis.
- 12.8 No candidate shall be permitted to register for Ph.D. degree on a problem which has been already offered for a research degree in this or any other University.
- 12.9 No Ph.D. candidate shall be permitted to join any degree course, except Part-time courses like Diploma, Certificate Courses, etc., during the period of the Ph.D. Programme.
- 12.10 No Full-time research candidate, whether he/she receives scholarship or not, shall accept any assignment other than teaching in the Research Centre till he/she submits Ph.D. thesis. There shall be no remuneration for such teaching assignment.
- 13.0 Place of Course Work and Research Work:**
- 13.1 All the registered candidates shall complete the course work and Research Work in the Research Centre

13.2 Part-time candidates shall work for at least 45 working days per year in the Research Centre under the Research Supervisor (180 days for the Whole research period). Such candidates shall give an undertaking to this effect at the time of submitting the application for registration. The concerned Research Centre shall maintain an attendance register for these candidates.

#### **14.0 Validity Period of Registration**

14.1 Every Full-time Ph.D. candidate shall work for a minimum period of three years (two and a half years in case of candidates with M.Phil degree) from the date of provisional registration. The Part-time Ph.D. candidate, however, shall work for a minimum period of 4 years (three and a half years in case of candidates with M.Phil degree).

14.2 The Full-time Ph.D candidate shall complete the research work and submit the thesis to the for evaluation within a maximum period of five years from the date of provisional. In case of Part-time Ph.D candidate, the maximum period for submission shall be six years.

a. In exceptional cases, extension beyond the above maximum period may be granted by the University on the recommendation of the Research Supervisor and Doctoral Committee for justifiable reasons for a period of time not exceeding one year after the candidate applies for extension before the expiry of the period and pays the prescribed fee.

b. Under extraordinary circumstances by which a candidate is affected and such circumstances warranting a further extension of period for submission of thesis, the candidate shall make an appeal before the expiry of the extension period to the Head of the Research Centre through the Research Supervisor, and the Head of the Research Centre shall refer the same to the Doctoral Committee for its opinion. The opinion of the committee shall be submitted to the Vice-chancellor by the Head of the Research Centre for consideration and the decision shall be final and limited to only one year. Candidates who fail to submit the thesis even after the extended period shall repeat the programme by registering again.

c. The Full-time Ph.D candidate shall sign in the attendance register kept in the Department on day-to-day basis except during the period of field work duly permitted by the research Supervisor. Such Ph.D candidate shall maintain 75% attendance in each term. If the candidate goes on field work, he/she shall submit the report to the University through the research Supervisor and Chairperson of the Department. Such

period of field work shall be taken into consideration while computing the percentage of attendance.

14.3 The Full-time candidates working under the guidance of recognized Supervisors at the Research Centre shall maintain their attendance at the place of Research Supervisor / Co-Supervisor and the same shall be submitted to the University through Research Supervisor /Co-Supervisor with due counter signature by the Head of the Research Centre. All correspondence by these candidates with the University shall be made only through the Research Supervisor and the Head of the Research Centre.

14.4 If the Full-time/Part-time research candidate remains absent due to unexpected events like, accident, prolonged ill health etc., during period of research work, such absence shall intimated be within a week by the research candidate through the Research Supervisor who in turn should intimate to the University through the Chairperson.

**15.0 Provision for change of Registration from Full-time to Part-time Ph.D. Programme and vice-versa.**

A candidate admitted to Full-time Ph.D. Programme may be permitted to change to a Part-time Ph.D. Programme, by the Doctoral Committee, on request, provided the candidate produces (i) "No Objection Certificate" from the Research Supervisor and Head of the Research Centre in which he/she has reported. For determining the duration of the programme, the period of study spent as a Full-time candidate shall be deducted from the maximum duration of time permissible for part-time candidate.

Similarly, a candidate admitted to a Part-time programme may be allowed to change his/her registration to full-time programme on the recommendation of the Research Supervisor, the Head of the Research Centre and Doctoral committee. However, for determining the duration of the programme, one-half of the period of study spent as Part-time shall be counted.

**16.0 Progress Reports**

16.1 After the confirmation of the registration, every candidate shall submit half-yearly progress report regularly till the submission of the thesis through the Research Supervisor to the Head of the Research Centre and who shall place it before the Doctoral Committee for its review. The half-yearly Progress Report should cover, among others, the aspects such as the review of literature, new data collected/obtained, techniques developed, progress in research, discussion of the work done including any findings, etc.

- 16.2 Besides, the candidate shall make, a presentation once in a year before the Doctoral Committee about the progress made by him/her during the last one year and also the work plan for the next one year. Such report shall be forwarded to the University.
- 16.3 If a candidate fails to submit two consecutive half-yearly progress report in time and/or if the progress of the candidate is not satisfactory for two terms, the Doctoral Committee shall recommend to the University for cancellation of his/her registration in consultation with the concerned Research Supervisor. However, in all such cases, the candidate must be heard.
- 17.0 Procedures for Recognition of Research Supervisor/Guide**
- 17.1 All Professors, Readers/Associate Professors in the University and the Research Centre shall be eligible to supervise Doctoral candidates. However, they have to apply to the University through the Chairperson of the Department for the purpose of getting formal recognition.
- 17.2 Assistant Professors/Lecturers in the University and the Research Centre who have Doctoral Degree in the relevant/cognate subject and have at least three years of independent research experience after the Ph.D. degree as evidenced by five publications in referred/reputed journals, shall be eligible to be recognized as Research Supervisors in their subjects provided they have at least five years of teaching or research experience on regular basis.
- 17.3 The University shall recognize a college/institution affiliated to another University as its Research Centre.
- 17.4 In all the above cases, the Doctoral Committee shall satisfy itself that the person has a sustained record of independent Post-Doctoral Research and Publications.
- 17.5 In the case of interdisciplinary subjects, a candidate may have two supervisors of which one is the Research supervisor and another is Co-Supervisor. However, either the Research Supervisor or Co-Supervisor shall be from the University or the Research Centre.
- 17.6 A Research Supervisor shall not opt to become Co-Supervisor for more than five candidates.
- 17.7 Research Supervisors shall be allotted/allocated research candidates, provided they have not less than two years of service prior to the date of their superannuation.
- 17.8 No Research Supervisor shall accept the assignment as Research Supervisor in any other University without the permission of Karnataka State Law University.



## **18.0 Intake**

- 18.1 Each Research Supervisor shall supervise not more than eight candidates at a time, out of which one seat each shall be reserved for (a) SC (b) ST / Category-I and (c) OBC candidates.
- 18.2 In the case of Research Supervisors who have candidates registered under them in other Universities, the Doctoral Committee shall take the number of candidates working under them in other Universities while allocating candidates to them. The outer limit of eight candidates may be retained by the Doctoral Committee in its discretion.
- 18.3 The seats reserved for SC and ST candidates shall be interchangeable (i.e., SC vacancy shall be filled with ST candidate and vice-versa if there is no eligible candidate from SC or ST). however, they shall not be transferred to general pool.
- 18.4 Whenever a Supervisor leaves the University temporarily for a period of more than one year, the Supervisor shall continue to provide guidance through any suitable mode of communication. During such period of absence of the guide, all correspondence with the University shall be made through the Head of the Research Centre. All such arrangements shall be made with the approval of the Registrar.
- 18.5 If a teacher, who is a recognized Supervisor, is appointed or comes on transfer or for any other reason from another University or Institution to Karnatak State Law University, he/she shall declare to the concerned Doctoral Committee the number of candidates already registered under him/her in the earlier place of work.
- 18.6 No Research Supervisor shall be allotted/allocated his/her relative as a candidate for the Ph.D. Programme.

## **19.0 Provision for Co-Supervisor**

A candidate may be allotted/allocated more than one Supervisor depending on the topic of research.

- 19.1 In the case of inter-disciplinary topic, a candidate may have two Supervisors of whom one is a Research Supervisor and another is a Co-Supervisor. The Research Supervisor shall be from the Research Centre, whereas the Co-Supervisor may be from the same or from another Research Center.

## **20.0 Change of Research Supervisor**

- 20.1 Generally, change of Research Supervisor shall not be permitted.
- 20.2 Under extraordinary circumstances, such as death or discontinuation of service or disability on health grounds of the Supervisor or transfer of the Supervisor, the change of Research Supervisor shall be permitted by the University, notwithstanding

the maximum number of eight candidates, at the request of the candidate and on the recommendation of the Head of the Research Centre and approval of the Doctoral Committee.

- 20.3 A change of Supervisor may be allowed with the consent of the candidate and both the Supervisors (current and proposed) and the approval from the Doctoral Committee and the University. Further, in case where such a change necessitates change of topic, the same shall be approved by the Doctoral Committee.
- 20.4 In case of any conflict between the Research Supervisor and the Candidate, the Head of the Research Centre, on the receipt of request letter either from the Candidate or from the Research Supervisor or both, shall refer the matter to the Doctoral Committee. The Doctoral Committee shall examine the case and send its report / recommendation to the Vice-Chancellor. The decision of the Vice-Chancellor shall be final in this regard.

#### 21.0 Submission of Thesis

- 21.1 Every candidate shall present a pre-submission colloquium to the Doctoral Committee before the submission of synopsis.
- 21.2 Subject to the above minimum and maximum period for submission of thesis, every candidate, six months prior to the probable date of submission of thesis, shall submit an application along with twenty copies of synopsis of the thesis through the Research Supervisor to the Head of the Research Centre for permission to submit the thesis. The Head of the Research Centre shall arrange to place the candidate's application and synopsis before the Doctoral Committee provided that the candidate has published two research papers in the referred / reputed journals (including the papers accepted for publication). He / She is required to produce reprint / galley proof / proof of acceptance of research paper to the Doctoral Committee during his / her pre-submission colloquium.

The candidate shall enclose the following along with Synopsis :

- Confirmed registration certificate.
- Course-work marks sheet.
- Certificate from the Head of the Research Centre that the pre-registration colloquium has been completed satisfactorily.

21.3 Considering the work of the candidate, and his / her performance at the pre-submission colloquium and also the publications besides other technical aspects, the Doctoral Committee shall permit the candidate to submit the thesis.

21.4 Every candidate shall (not later than six months after obtaining permission from the Doctoral Committee for submission of thesis) submit four copies of the thesis both hard and soft to the Registrar (Evaluation) through the Research Supervisor and the Head of the Research Centre concerned. The candidate shall also pay the prescribed submission fee, and produce "No Due Certificates" from the Head of the Research Centre concerned, University Librarian and Hostel Warden.

21.5 In the event, the candidate fails to submit the thesis within the stipulated period, in exceptional cases, where valid reasons exist, candidate's request for extension may be considered in the discretion of the Doctoral Committee and an extension of a term of six months may be granted.

The copies of the thesis shall be bound in accordance with the following specifications:

(a) Size of the Paper: Size of the paper shall be A4 except for drawings, graphs, labels and maps. A margin of one and half inches shall be maintained on the left hand side of the paper.

The thesis shall be work processed using 1.5 line spacing and font size 12 in one side of the paper.

21.6 Every candidate shall include in the thesis a certificate from the Supervisor to the effect that the thesis submitted is a record of research work done by the candidate during the period of study, and that it has not previously formed the basis for the award of any Degree / Diploma / Associateship / Fellowship or other similar titles by this University or any other University.

#### **22.0 Adjudication of the Ph.D. Thesis**

22.1 The Ph.D. thesis shall be adjudicated by a Board of Examiners consisting of the concerned Research Supervisor and two external members to be chosen by the Vice Chancellor.

22.2 Submission of the Panel of experts :

The Chairperson of the concerned P.G. Board of Studies shall prepare the panel of examiners in consultation with the concerned Research Supervisor and submit to the University for the approval of the BOS.

The panel shall include ten experts consisting of not more than five experts from the State / Central Universities / Premier Institutions within the State and remaining drawn from foreign Universities or Central Universities / Premier Institutions / Other Universities within the Country.

In all cases, it is desirable to provide along with the expert's Name his/her Designation, complete official Postal Address, e-mail ID and cell number wherever possible.

22.3 In case, the panel of experts is exhausted the chairperson of the concerned Board of Studies shall submit a fresh panel of experts.

22.4 While preparing the panel of experts, the concerned Supervisor shall include the names of only those experts who are in the cadre of Reader/Associate Professor, Professor.

22.5 Examiners appointed to adjudicate the thesis shall send the report to the Registrar (Evaluation) within 45 days from the date of dispatch of the thesis. Such report shall include:

- i) a critical account of the work of the candidate as embodied in the thesis and an evaluation of the work in terms of its contribution to the advancement of knowledge.
- ii) details of questions to be asked or points to be clarified at the viva-voce examination separately ; and
- iii) a definite recommendation as to whether the Ph.D. Degree should be awarded or not in the proforma supplied by the University.

22.6 If the report is not received by the University from the external examiner within a maximum period of 45 days from the date of dispatch of thesis, a reminder shall be sent.

However, if the report is not received by the University from the external examiner within a maximum period of 45 days from the date of reminder, the thesis may be referred to the next examiner from the same panel, with due e-mail intimation to the former examiner that he need not evaluate the thesis.

22.7 On both the external examiners giving definite recommendation for the award of the degree, the candidate shall be eligible to appear for the open viva-voce examination which is mandatory.

If one of the examiners gives a definite recommendation against the award of the degree, the thesis shall be referred to third external examiner. If the report of such

examiner is favorable, then the candidate shall be eligible to appear for the viva-voce examination, otherwise the thesis shall be rejected.

On both the examiners giving definite recommendation against the award of the degree, the thesis shall be rejected.

- 22.8 If one of the examiners makes a recommendation for resubmission of the thesis, after incorporating the suggestions made by him, the Registrar shall accordingly communicate the same to the candidate and the Research Supervisor. Further, the thesis shall be resubmitted after carrying out the modifications, within six months from the date of such communication to the candidate.
- 22.9 If an examiner has recommended for minor changes or alteration or modifications in the thesis such changes shall be incorporated and certified by the Research Supervisor and the Head of the Research Centre, without referring back the thesis to the examiner.
- 22.10 The candidate and the Research Supervisor shall be informed about the queries raised by the examiners soon after receiving the same from the examiners.
- 22.11 No candidate shall, however, be permitted to re-submit the thesis more than once.
- 22.12 The Library copy in standard hardbound form and a soft copy in pdf format shall be submitted after the conduct of the Viva-Voce examination.
- 22.13 If the Research Supervisor expires after the submission of thesis but before evaluation, the thesis shall be evaluated by another Indian examiner in the panel.

### 23.0 Viva-Voce Examination

23.1 An open Viva-Voce Examination shall be conducted on receipt of satisfactory reports on the thesis from all the examiners. The Viva-Voce shall be conducted in the concerned Research Centre by the Board constituted as follows :

- i) The Research Supervisor as Chairperson.
- ii) At least one of the external examiners, as member.
- iii) However, under extraordinary circumstances where neither of the external examiners can be present at the Viva-Voce examination, the Vice-Chancellor may appoint an external substitute examiner from out of the approved panel.
- iv) The concerned Head of the Research Centre as a member.
- v) Chairperson of the BOS as a member
- vi) Co-Supervisor, if any.

(The Head of the Research Centre shall organize the conduct of Viva-Voce examination)

- 23.2 In case where Research Supervisor cannot attend the Viva-Voce examination or expires before the Viva-Voce examination then the Co-Supervisor or external adjudicator shall be made the Chairperson, with prior approval of the Vice-Chancellor.
- 23.3 The Chairperson of the Viva-Voce board shall fix the date of Viva-Voce in consultation with the Head of the Research Centre.
- 23.4 The Viva-Voce examination should primarily be designed to test the understanding of the candidate on the subject matter of the thesis including techniques/methodology employed and the competence in the general field of study. Any of the points raised by the examiners should be clarified during the Viva-Voce examination, and the report of the examiners shall be made available to the Board of the Viva-Voce examination. At the Viva-Voce examination, the candidate shall produce all the relevant documents, materials, publications etc., connected with the research.
- 23.5 A copy of the thesis shall be kept in the library of the Research Centre for reference of students who want to participate in the open viva-voce.
- 23.6 In the case of candidates who are abroad and unable to be present physically, Viva-Voce examination may be held through video-conference mode as described above (with open viva) after the candidate pays the additional fees prescribed by the University from time to time.
- 23.7 In case of poor performance of the candidate during the viva-voce, one more viva-voce may be held within three months. In such cases TA/DA/other incidental expenses in holding the viva-voce shall be borne by the candidate.

#### **24.0 Award of Ph.D. Degree**

24.1 After the candidate successfully completes the Viva-voce examination, the Chairperson of the Viva-voce board shall consolidate the recommendations for the award of Ph.D. Degree based on the following :

- (a) The reports of the examiners who adjudicated the thesis and
- (b) The evaluation of the candidate's performance at the viva-voce examination.

The Chairperson of the viva-voce board shall submit the consolidated report to the Registrar of the University, and candidate shall be awarded the Ph.D. Degree with the approval of the Vice-Chancellor.

24.2 A candidate who expires before undergoing the Ph.D. Viva-Voce test and in whose case the evaluation reports from all the referees are favorable, shall be awarded the Ph.D. degree posthumously.

**25.0 Depository with UGC**

Following the successful completion of the evaluation process and announcement of the award of Ph.D. degree, the University shall submit a soft copy of the Ph.D. thesis to the UGC within a period of thirty days, for posting the same in INFLIBNET, accessible to all Institution/Universities.

**26.0 Cancellation of Registration /Withdrawal of the Degree**

If any plagiarism and / or academic misrepresentation is brought to the notice of the University, before or after the award of the Ph.D. Degree, the University shall cancel the Ph.D. registration or withdraw the Ph.D. Degree after due investigation by the University.

**27.0 Publication of the Thesis**

The thesis may be published, within two years of the award of the PhD. Degree with permission from the University considering the adjudicators' recommendations. Two copies of the published work shall be submitted to the University Library by the candidate for the purpose of record.

After the award of the Ph.D. Degree, the thesis or any publication derived from the thesis work shall be the property of the University. The University shall rightfully share with the candidate and Research Supervisor for any copyright, patent or recognition to the thesis.

**28.0 Grievance Redressal**

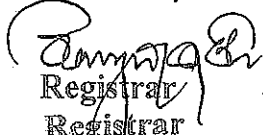
In case of conflict arising between a candidate and the Research Supervisor, the Grievance cell constituted by the University may resolve the issue and recommend for initiating necessary action by the University. The aggrieved parties shall abide by the decision taken by the Vice –Chancellor.


**29.0 Repeal and Savings**


29.1 Specific guidelines/clarifications as may be issued by the University from time to time shall be mandatory for smooth implementation and uniformity.

29.2 Notwithstanding anything contained in these Ordinances, the Provisions of any Guidelines, Order, Rules or Regulations, in force shall be inapplicable to the extent of their inconsistency with these Ordinances.

- 29.3 The University shall issue such orders, instructions, etc., and prescribe such format, procedure, etc, as it may deem fit to implement the provisions of these Ordinances.
- 29.4 The modifications made by the UGC/NCHER from time to time in its Ph.D. Regulations shall also be applicable to these Ph.D. Ordinances.
- 29.5 Any action, decision taken or directed by the University under any statute in force at any time earlier than this statute coming into force shall be valid and remain in force notwithstanding any thing contained in this ordinance.
- 29.6 On and after the coming into force of these Ordinances, the Regulations in force governing Doctoral Degree Programme (2010-11) shall stand repealed.
- 29.7 In exceptional cases either not covered in the above Ordinances or arising out of the interpretation of the Ordinance, the decision of the Vice-Chancellor shall be final.

  
Registrar  
Registrar  
Karnataka State Law University  
Navanagar, Hubli-580025

  
Vice-Chancellor  
VICE CHANCELLOR  
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Chancellor  
DR. K. ROSAIAH  
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